PATENTS 103167-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:

James C. Lu et al.

Serial No.: 09/840,658

Filed: April 23, 2001

For: System and Method for the Duplication of a Software System Onto an Appropriate Target Computer

Cesari and McKenna, LLP

88 Black Falcon Avenue

Boston, MA 02210

September 10, 2001

CERTIFICATE OF MAILING

I hereby certify that the following Information-Disclosure Statement is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on September 10, 2001.

Safiya Jarvis

Honorable Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

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In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. It is understood that the Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Compl te if Kn wn 09/840,658 **Application Number** Filing Date April 23, 2001 First Named Inventor James C. Lu et al. Group Art Unit 2182 **Examiner Name** Not yet assigned Attorney Docket Number 103167-0002

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No.1	U.S. Patent Document Number Kind Code² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Releva Passages or Relevant Figures Appear	
		5,155,847	Kirouac, et al.	10-13-1992		
		5,845,077	Fawcett	12-01-1998		
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Examine r Initials*	Cite No.¹	Foreign Patent Document		Name of Patentee or	Date of Publication of	Pages, Columns, Lines,		
		Office ³	Number ⁴	Kind Code ⁵ (<i>if known</i>)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Т ₆
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	09/840,658			
Filing Date	April 23, 2001	_		
First Named Inventor	James C. Lu et al.			
Group Art Unit	2182			
Examiner Name	Not yet assigned			
Attorney Docket Number	103167-0002			

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
		"Business Case: Business Models for Hosting and Content Delivery Services,", http://www.cisco.com/warp/public/cc/so/cuso/sp/webhost/bchc_bc.htm, (c) 1992-2000 Cisco Systems, Inc., pp. 1-11.				
		"White Paper: OSD-Describing Software Packages on the Internet, " (c)1999 Marimba, Inc., pp. 1-8.				
		"White Paper: Software Applications as Services," (c) 1998 Marimba, Inc., pp. 1-6.				
		"Introduction to Castanet", Version 4.6.1 (000215), Copyright 1996-2000 Marimba, Inc., pp. 1-24.				
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